

## Electronic Acknowledgement Receipt

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<b>Application Number:</b>	09911772
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3927
<b>Title of Invention:</b>	Securities market and market maker activity tracking system and method
<b>First Named Inventor/Applicant Name:</b>	Stephen Cutler
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<b>Filer:</b>	Morris David Galin
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

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### File Listing:



Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		CUTSP0102USR03final.pdf	243966 fae04b815ff060e366e0d7d7eb3d9fc886e9896d	yes	74

	<b>Multipart Description/PDF files in .zip description</b>			
	<b>Document Description</b>	<b>Start</b>	<b>End</b>	
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1	
	Claims	2	47	
	Applicant Arguments/Remarks Made in an Amendment	48	74	

**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	243966
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.